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STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))—SMALL BUSINESS CONCERN

Docket Number (Optional)
774-010087-US (FAR)

Applicant, Patentee, or Identifier: Yuen Chun Chan

Application or Patent No.: 09/894 186

Filed or Issued: June 28 2001

Title: LIQUID PHASE EPITAXY

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I hereby state that I am

- ☐ the owner of the small business concern identified below;
☒ an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF SMALL BUSINESS CONCERN NTU VENTURES PTE. LTD.

ADDRESS OF SMALL BUSINESS CONCERN Block 1, Unit 213, 16 Nanyang Drive, Singapore 637722

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20415.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

- Each person, concern, or organization having any rights in the invention is listed below:
☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee

NAME OF PERSON SIGNING YEONG HIN YUEN

TITLE OF PERSON IF OTHER THAN OWNER DIRECTOR, NTU VENTURES PTE LTD

ADDRESS OF PERSON SIGNING BLK 1, UNIT 213, 16 NANYANG DRIVE, SINGAPORE 637722

SIGNATURE [Signature] DATE 8 Nov 2003

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